| Form PTC | | O TUE LIMITED OTATEO | EXPRESS MAIL LABEL NO.: EL 997678905 US | | | | | | | | |
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| ı | RANSMITTAL LETTER TO DESIGNATED/ELECTED | | ATTORNEY'S DOCKET NUMBER: 02157.0030U1 | | | | | | | | |
| | CONCERNING A FILING | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | | | |
| INTER | RNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE December 6, 2004 | PRIORITY COATE CLAMED | | | | | | | | |
| | 3B2004/005118 | December 5, 2003 | | | | | | | | | |
| | OF INVENTION: DI ING ENGINE ASSEMBL | LV | | | | | | | | | |
| A STIRLING ENGINE ASSEMBLY APPLICANT(S) FOR DO/EO/US: | | | | | | | | | | | |
| Williams, John Stephen | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. 🖾 | | | | | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| 3. | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. | The US has been elected (Article 31). | | | | | | | | | | |
| 5. 🛛 | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| | b. 🗵 has been communicated by the International Bureau. | | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | |
| | a. 🔲 is attached hereto. | | | | | | | | | | |
| | b. has been previously sul | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. 🛛 | Amendments to the claims o | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | |
| | d. 🛛 have not been made ar | d. 🛛 have not been made and will not be made. | | | | | | | | | |
| 8. | An English language translation | n of the amendments to the claims und | der PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. 🗌 | An oath or declaration of the in | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. 🗌 | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | |
| Iten | ns 11 to 20 below concern docu | s 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. 🖾 | An Information Disclosure St copies of References A8-A10 | | 8 and IDS Listing of References A1-A10 and | | | | | | | | |
| 12. 🔲 | - | ecording. A separate cover sheet in co | ompliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. 🖂 | A preliminary amendment. | | | | | | | | | | |
| 14. 🛛 | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | |
| 15. 🗌 | A substitute specification. | | | | | | | | | | |
| 16. 🗀 | A power of attorney and/or change of address letter. | | | | | | | | | | |
| 17. 🗌 | contents of the paper copy and | | PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. The equence Listing submitted herewith are the same | | | | | | | | |
| 18. 🔲 | A second copy of the published | d international application under 35 U.S | S.C. 154(d)(4). | | | | | | | | |
| 19. 🔲 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 20. Oth | 0. Other items or information: copy of Notification Relating to Declaration Made Under PCT Rule 4.17 | | | | | | | | | | |
| (Form PCT/IB/371) | | | | | | | | | | | |

(AP20 Rec'd PCT/PTO 31 MAY 2006

| U.S. APPLICATION NO. (if,known, see 37, CFRM.5) INTERNATIONAL APPLICATION NO. PCT/GB2004/005118 | | | | | | ATTORNEY DOCKET NUMBER • 02157.0030U1 | | | | |
|--|--|------------------------|----------------------------------|----------------------|---------------------|---------------------------------------|------------------------|--|--|--|
| The fo | llowing fees h | CALCULATIONS | PTO USE ONLY | | | | | | | |
| | | \$ 300.00 | 1100000 | | | | | | | |
| 21. ⊠ Basic national fee \$300.00 22. ⊠ Examination fee \$300.00 | | | | | | | | | | |
| If written opinion prepared by ISA/US or the IPER prepared by IPEA/US indicates all ctaims satisfy provisions of PCT Article 33(1)-(4) | | | | | | | | | | |
| | | | | | | | | | | |
| All other situations | | | | | | | | | | |
| If written opinion prep PCT Ar | ared by ISA/US or icle 33(1)-(4) | \$ 400.00 | | | | | | | | |
| If Search fee (37 CFF | 1.445(a)(2) in Int | | | | | | | | | |
| ISR prepared by an I | | | | | | | | | | |
| All other situations | | | | | | | | | | |
| | | \$ 900.00 | | | | | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | |
| Total Sheets | Extra She | | lo. of each ad raction thereo | | RATE | | | | | |
| | | | vhole number) | round up to a | | | | | | |
| - 100 / 50 = | | | X \$250 | | | \$ 0.00 | | | | |
| Surcharge of \$130.0 claimed priority date | | \$ 0.00 | | | | | | | | |
| CLAIN | ıs | NUME | BER FILED | NUMBER EXTRA | RATE | | | | | |
| Total cla | ims | 81 | 3] - 20 = | 0 | X \$50.00 | \$ 0.00 | | | | |
| Independent claims | | | | 0 | X \$200.00 | \$ 0.00 | | | | |
| MULTIPLE DEPEN | ENT CLAIM(S) | (if applicat | ble) | | + \$360.00 | \$ 0.00 | | | | |
| | | | TOTA | L OF ABOVE CA | LCULATIONS = | \$ 900.00 | 1 | | | |
| ☐ Applicant claims | small entity statu | s. See 37 | | es above are reduced | | \$ 0.00 | <u> </u> | | | |
| | | | | | SUBTOTAL = | \$ 900.00 | | | | |
| | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than \(\) 20 months \(\) 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ 0.00 | | | | |
| | | \$ 900.00 | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property | | | | | | \$ 0.00 | | | | |
| | | \$ 900.00 | | | | | | | | |
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| a. A che | k in the amou | unt of \$ | to | cover the above | fees is enclosed. | | • | | | |
| b. Please | charge my D sheet is enck | eposit A | Account No.14 | 4-0629 in the am | ount of \$ | _ to cover the above t | fees. A duplicate copy | | | |
| c. 🛛 The C | ommissioner | is hereby | | | | may be required, or o | credit any | | | |
| | | | | | e copy of this shee | | | | | |
| a. 凶 Fees a inform | d. Sees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| e. Pursuant to 37 C.F.R. §1.136(a)(3), the Commissioner is hereby requested and authorized to treat any concurrent or future reply in the above-identified application, requiring a petition for an extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status. | | | | | | | | | | |
| | | | | | | | | | | |
| SEND ALL TO THE ADDRESS ASSOCIATED WITH: | | | | | | | | | | |
| Customer No. 23859 | | | | | | | | | | |
| Sumner C. Rosenberg | | | | | | | | | | |
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| 28,753 REGISTRATION NUMBER | | | | | | | | | | |